

Due Date: November 21, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David E. Simmen Examiner: Cindy Nguyen
 Serial No.: 09/669,556 Group Art Unit: 2171
 Filed: September 26, 2000 Docket: STL919990184
 Title: QUERY OPTIMIZATION TECHNIQUE FOR OBTAINING IMPROVED CARDINALITY
 ESTIMATES USING STATISTICS ON AUTOMATIC SUMMARY TABLES

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on November 21, 2002.

By: GHG
 Name: George H. Gates

Commissioner for Patents
 Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
☒ Amendment Under 37 C.F.R. §1.111 including Appendix.

CLAIMS PRESENT

| Claims Remaining: | Highest Number Previously Paid For: | Number Extra | Rate | Fee |
|------------------------------|--|-----------------|-----------|----------|
| Total Claims | | | | |
| 30 | 30 | 0 | x \$18.00 | = \$0.00 |
| Independent Claims | | | | |
| 3 | 3 | 0 | x \$84.00 | = \$0.00 |
| MULTIPLE DEPENDENT CLAIM FEE | | | | \$0.00 |
| TOTAL FILING FEE | | | | \$0.00 |

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

GATES & COOPER LLP
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By: GHG
 Name: George H. Gates
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 GHG/sjm

G&C 30571.272-US-U1

Received from <+13106418798> at 11/21/02 4:46:00 PM [Eastern Standard Time]

Gates & Cooper *LLP*

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FAX TRANSMISSION TO USPTO**Official**
11/21/02

TO: Commissioner for Patents
Attn: Examiner Cindy Nguyen
Patent Examining Corps
Facsimile Center
Washington, D.C. 20231

FROM: George H. Gates
OUR REF.: STL919990184
TELEPHONE: (310) 642-4146

Total pages, including cover letter: **14**

PTO FAX NUMBER: (703) 746-7239

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

| | |
|--------------------------------|--|
| Title of Document Transmitted: | AMENDMENT UNDER 37 C.F.R. 1.111 INCLUDING APPENDIX |
| Applicant: | David E. Simmen |
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Signature George H. GatesDate 11/21/02

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Due Date: November 21, 2002

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By: 

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AMENDMENT UNDER 37 C.F.R. §1.111

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Office Action dated August 21, 2002, please amend the above-identified application as follows. Please note that, in compliance with the rules under 37 C.F.R. § 1.121, the amended claims are presented in "clean" form with marked-up versions provided in the Appendix.

IN THE CLAIMS

~~Please cancel claims 2, 12 and 22, and amend claims 1, 3, 11, 13, 21 and 23 as follows:~~